

11 JAN 2002

FORM PTO-1390  
(Rev. 9-2001)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

KEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

003300-894

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)

Unassigned  
10/030624

INTERNATIONAL APPLICATION NO.  
PCT/SE00/01478

INTERNATIONAL FILING DATE  
11 July 2000

PRIORITY DATE CLAIMED  
15 July 1999

TITLE OF INVENTION  
PARTICLE SEPARATOR

APPLICANT(S) FOR DO/EO/US  
LENNART AHLGREN and ERIK RENSFELT

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  
It is contemplated that this Application be prosecuted while using Claims 1 to 17 that were submitted on January 31, 2001 during the international phase of examination as further amended in the Preliminary Amendment filed herewith.

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (Signed Declaration will follow)
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:

A certified copy of Swedish Application No. 9902711-2, filed 15 July 1999, was submitted during the international phase of examination. Thus the claim for priority has been perfected.



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531 Rec'd PCT

11 JAN 2002

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.51) <b>Unassigned</b>		INTERNATIONAL APPLICATION NO. <b>PCT/SE00/01478</b>		ATTORNEY'S DOCKET NUMBER <b>003300-894</b>	
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21. <input type="checkbox"/> The following fees are submitted:				<b>CALCULATIONS</b>		<small>P.T.O. USE ONLY</small>	
<b>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</b>  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to U.S. PATENT AND TRADEMARK OFFICE and International Search Report not prepared by the EPO or JPO ..... \$1,040.00 (960)  International preliminary examination fee (37 CFR 1.482) not paid to U.S. PATENT AND TRADEMARK OFFICE but International Search Report prepared by the EPO or JPO ..... \$890.00 (970)  International preliminary examination fee (37 CFR 1.482) not paid to U.S. PATENT AND TRADEMARK OFFICE but international search fee (37 CFR 1.445(a)(2)) paid to U.S. PATENT AND TRADEMARK OFFICE ..... \$740.00 (958)  International preliminary examination fee (37 CFR 1.482) paid to U.S. PATENT AND TRADEMARK OFFICE but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$710.00 (956)  International preliminary examination fee (37 CFR 1.482) paid to U.S. PATENT AND TRADEMARK OFFICE and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00 (962)							
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>							
Surcharge of <b>\$130.00 (154)</b> for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).      20 <input type="checkbox"/> 30 <input type="checkbox"/>				\$			
Claims	Number Filed	Number Extra	Rate				
Total Claims	20 -20 =	0	X\$18.00 (966)	\$		--	
Independent Claims	4 -3 =	1	X\$84.00 (964)	\$		84.00	
Multiple dependent claim(s) (if applicable)				+ \$280.00 (968)		\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$		1,124.00	
Reduction for 1/2 for filing by small entity, if applicable (see below).				+		\$	
<b>SUBTOTAL =</b>				\$		562.00	
Processing fee of <b>\$130.00 (156)</b> for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492(f)).      20 <input type="checkbox"/> 30 <input type="checkbox"/>				+		\$	
<b>TOTAL NATIONAL FEE =</b>				\$		562.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00 (581)</b> per property				+		\$	
<b>TOTAL FEES ENCLOSED =</b>				\$		562.00	
				Amount to be refunded:		\$	
				charged:		\$	

a. ☒ Small entity status is hereby claimed.

b. ☒ A check in the amount of \$ 562.00 to cover the above fees is enclosed.

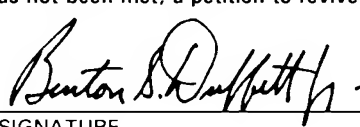
c. ☐ Please charge my Deposit Account No. 02-4800 in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

d. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-4800. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

Benton S. Duffett, Jr.  
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(703) 836-6620

  
 SIGNATURE  
 Benton S. Duffett, Jr.  
 NAME  
 22,030  
 REGISTRATION NUMBER  
 January 11, 2002  
 DATE